

All Eligible Professionals (EPs) or appointed Designees are required to upload the following documents to the EP's application in MAPIR at the time of attestation. Attention: No documentation should be submitted directly to an Analyst for review. If an EP's document(s) exceed the MAPIR size limit, please send the document(s) to MassEHR@masstech.org. All documents that contain PHI or sensitive information must be sent encrypted.

- Section 1: Program Eligibility Requirements for EPs attesting to AIU and MU
- Section 2: Meaningful Use Requirements for EPs attesting to MU

As the state-designated Health Information Technology Agency, MeHI has been contracted by the Massachusetts Executive Office of Health and Human Services to administer the following components of the Medicaid EHR Incentive Program: Program Planning and Administration, Enrollment and Eligibility Verification, Attestation and Pre-Payment Verification, Reconsideration and Appeals, and Program Reporting to State and the Federal Government.

An electronic copy of this document and additional guidance is available in the [MU Toolkit for Eligible Professionals](#) on MeHI's website.

SECTION 1: PROGRAM ELIGIBILITY REQUIREMENTS – AIU AND MU

<p>HOSPITAL- BASED</p>	<p>Upon request, EPs are required to upload employment verification letters from <u>all locations worked</u> for the entire previous calendar year (January 1, 2014 – December 31, 2014). All employment verification letters must include timeframe worked, number of hours worked, percentage inpatient, percentage emergency room, percentage outpatient or other (research, training, etc.) and must be signed by an authorized official – Human Resources, Chief Nursing Officer (for Midwives and Nurse Practitioners), Clinical or Medical Director (for MDs, DDS, and PAs).</p> <p>Definition: An EP is considered hospital-based if the EP furnishes 90% or more of his or her services in a hospital inpatient (place of service code 21) or emergency room setting (place of service code 23).</p>
<p>2014 CERTIFIED EHR TECHNOLOGY (CEHRT)</p>	<p>All EPs who did <u>not</u> receive a Medicaid EHR Incentive Payment for Program Year 2014, or who changed employers or practice locations, used the CEHRT flexibility rule for Program Year 2014, and/or upgraded to 2014 CEHRT during Program Year 2015, are required to upload the following documentation to demonstrate proof of 2014 CEHRT:</p> <p>Letter on letterhead signed by your CIO or IS Department Head. The letter must state the following:</p> <ul style="list-style-type: none"> • List of providers(s) with NPI number(s) who are currently using or will be using the federally-certified EHR technology, and location(s) the federally-certified EHR technology will be used • EHR Vendor, product name, and version • CMS Certification Number and CHPL Product Number • And one of the following: Signed copy of License Agreement, Proof of Purchase, or Signed Vendor Contract – must be signed by practice and vendor. • Copy of the 2014 CMS EHR Certification ID sheet printed from the ONC website while registering your product edition. <p>Note: Be sure the license agreements or invoices identify the vendor, product name, version of the certified EHR and number of licenses purchased. If the EHR product and version are not listed on the invoice/contract, please supply a letter from the vendor attesting to the EHR product and version purchased.</p>
<p>PATIENT VOLUME THRESHOLD (PVT)</p>	<ul style="list-style-type: none"> • All EPs who worked in a Hospital Ambulatory Clinic, Hospital Foundation or Hospital-owned Health Center and have elected to use Group Proxy Methodology are required to submit their patient volume data for prior approval before June 15, 2016. • FQHC EPs are required to submit the composition of Needy Patient Volume. The template can be found here • Further details on patient volume supporting documentation is required only upon request. • All other EPs are required to upload patient volume supporting documentation <i>only upon request</i>. • Patient Volume Threshold documentation must be provided in a searchable format (i.e. Excel). • Patient volume supporting documentation must contain all data elements listed in the Sample Patient Volume Templates found here • Approved Group Proxy Options can be found here • Patient Volume Tip Sheet can be found here • For the Patient Volume Medicaid Numerator, please see the Medicaid 1115 Waiver Population Grid found here • Chip percentage must be applied to the in-state numerator (Non-FQHC only); CHIP Grid can be found here

<p>FEDERALLY QUALIFIED HEALTH CENTER (FQHC) PROVIDERS</p>	<p>EPs using Federally Qualified Health Center (FQHC) or Rural Health Clinic (RHC) patient volume data must submit an FQHC Employment Letter, confirming the EP practiced predominantly at the FQHC. “Practiced predominantly” is defined as over 50% of the EP’s total patient encounters occurring at an FQHC or RHC, over a period of six (6) months in the most recent calendar year (January 1, 2015 through December 31, 2015). To confirm the EP meets the “practiced predominantly” requirement, EPs must submit employment letter(s) from all locations at which the EP worked during the most recent calendar year. The letter(s) must be on letterhead, signed by a CEO or other authorized official, and include the following criteria:</p> <ul style="list-style-type: none"> • Eligible Professional’s date of hire • The total number of patient encounters that occurred at that location over a period of six (6) months in the most recent calendar year (January 1, 2015 through December 31, 2015) • Whether or not the EP worked full-time or part-time at another location. (If so, an employment letter must be submitted for all additional locations where the EP worked during that time)
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SECTION 2: MEANINGFUL USE SUPPORTING DOCUMENTATION REQUIREMENTS

OBJECTIVE	MAPIR UPLOAD REQUIREMENTS AND SPECIFICATION SHEETS
<p>MU AGGREGATION FORM</p>	<p>All EPs attesting to Meaningful Use are required to upload a completed Meaningful Use (MU) Aggregation Form to MAPIR.</p> <ul style="list-style-type: none"> • To be a Meaningful User, an EP must have 50% of his or her outpatient encounters during the selected MU reporting period at a practice/location or practices/locations that are equipped with CEHRT. • EPs who worked at multiple practices/locations (affiliated or non-affiliated employers) that utilized certified EHRs during the selected MU reporting period are responsible for obtaining, combining and accurately reporting their MU data from all practices/locations. <p>Click on the link to obtain a copy of the required form: MU Aggregation Form</p> <p>Note: Patient Encounter is defined as any encounter where medical treatment is provided or evaluation and management services are provided.</p>
<p>PROTECT PATIENT HEALTH INFORMATION (PHI)</p>	<p>All EPs are required to upload a Security Risk Analysis (SRA) or Security Risk Review (SRR) to MAPIR for <u>all locations/practices worked</u> where certified EHR technology was utilized during the selected MU reporting period.</p> <ul style="list-style-type: none"> • Each SRA or SRR submitted must be dated, and list the name and title of the person who conducted the review or analysis. The SRA or SRR should be signed by an authorized official. If the SRA or SRR pertains to multiple practice locations, the administrative, physical, and technical safeguards, encryption and mitigation plan must be listed for all locations included within the SRA/SRR. • The mitigation plan <u>must</u> show what steps are being taken to correct or mitigate previously identified or new discrepancies. • EPs are required to fill in and submit the Security Risk Analysis/Review Cover Sheet, which can be found here. <p>Note: EPs must be able to demonstrate he/she implemented administrative, physical and technical safeguard to protect ePHI. The SRA/SRR must be completed in accordance with the requirements under 45 CFR 164.308(a) (1) including addressing the security (including encryption) of data created or maintained by CEHRT in accordance with requirements under 45 CFR 164.312, implementing security updates as necessary, and correcting identified security deficiencies as part of the EP’s risk management process. The SRA or SRR is required to be completed in the same year as the EP’s reporting period. The SRA/SRR may occur before, during or after the selected MU reporting period, but must occur prior to attestation.</p> <p>For specific information about this objective, please refer to the CMS Specification Sheet here.</p>

<p>CLINICAL DECISION SUPPORT (CDS)</p>	<p>All EPs are required to upload:</p> <p>Measure 1:</p> <ul style="list-style-type: none"> • Screen prints of <u>5</u> CDS interventions¹⁾ generated from his/her EHR system dated within the selected MU Reporting Period. The screen prints must display profile information about the EP & facility/organization. • Documentation that shows how the <u>5</u> CDS interventions tie to four or more Clinical Quality Measures related to the EP's scope of practice for which their EHR product has been certified. Absent the four clinical quality Measures, a letter from the EP or EP's Supervising MD, Clinical Director or Medical Director is required, explaining how the selected interventions relate to the EP's patient population and high-priority health conditions. • If an organization has multiple EPs and has selected Global CDSRs that are used by all EPs across all specialties, a screenshot with the Practice name and <u>enabled date</u>²⁾ is required along with a letter confirming <u>the CDSR's relevance</u> to the attesting EPs. The letter must list all EPs names and which CDSR he/she is using; the letter must also be on letterhead, signed and dated by the organization's Medical or Clinical Director. <p>Notes:</p> <ol style="list-style-type: none"> 1) Alerts are not the only method of providing CDS. CDS includes a <u>wide variety</u> of workflow optimization tools. 2) <u>If the screenshot does not display the enabled date, either a) submit a copy of the certified EHR system's audit log showing the selected CDSR interventions were enabled for the entire EHR reporting period, or b) provide a Vendor letter stating when the alerts were enabled and confirming Providers do not have the ability to deactivate an alert.</u> <p>Alternate Measure:</p> <ul style="list-style-type: none"> • EPs who were scheduled to attest to Stage 1 in 2015 can elect to report the alternate measure. EPs who elect the alternate measure are required to upload a screen print of 1 CDS intervention generated from the certified EHR system dated within the selected MU reporting period. <p>Measure 2:</p> <ul style="list-style-type: none"> • Documentation from their Certified EHR Technology that shows the EP enabled and implemented drug-drug and drug-allergy interaction checks for the <u>entire</u> MU reporting period. The screen prints must display profile information about EP & facility/organization. <p>For specific information about this objective, please refer to the CMS Specification Sheet here.</p>
<p>COMPUTERIZED PROVIDER ORDER ENTRY (CPOE)</p>	<p>All EPs are required to upload:</p> <ul style="list-style-type: none"> • An EHR-generated MU dashboard or report for the selected MU reporting period that shows the EP's name, numerator, denominator and resulting percentage for all CPOE measures. <p>For specific information about this objective, please refer to the CMS Specification Sheet here.</p>
<p>ELECTRONIC PRESCRIBING</p>	<p>All EPs are required to upload:</p> <ul style="list-style-type: none"> • An EHR-generated MU dashboard or report for the selected MU reporting period that shows the EP's name, numerator, denominator and resulting percentage for the required e-prescribing measure. <p>For specific information about this objective, please refer to the CMS Specification Sheet here.</p>
<p>HEALTH INFORMATION EXCHANGE (HIE)</p>	<p>All EPs are required to upload:</p> <ul style="list-style-type: none"> • An EHR-generated MU dashboard or report that shows the total number of referrals and transitions of care for the selected MU reporting period that were generated electronically using a Summary of Care record¹⁾. • Copy of <u>one</u> Summary of Care Record²⁾ with EP's name (redact patient's name and address) that occurred before, during or after the selected MU reporting period, but no earlier than the start of the same calendar year as the MU reporting period and no later than the date of attestation. <u>Submit a unique record per EP.</u> <p>Notes:</p> <ol style="list-style-type: none"> 1) <u>Please note CMS's guidance: "To count in the numerator, the sending provider must have reasonable certainty of receipt of the summary of care document." Providers must be able to provide supporting documentation to demonstrate the basis of their reasonable certainty upon request.</u> 2) <u>The Summary of Care record must be in human readable format and cannot be a test record. At a minimum, it must include a current problem list, current medication list, and current medication allergy list. Other patient information must be included if known, but may be left blank if such information wasn't recorded, or there was nothing to record.</u> <p>For specific information about this objective, please refer to the CMS Specification Sheet here.</p>

<p>PATIENT SPECIFIC EDUCATION</p>	<p>All EPs are required to upload:</p> <ul style="list-style-type: none"> An EHR-generated MU dashboard or report for the selected MU reporting period that shows the EP's name, numerator, denominator and resulting percentage for the patient specific education measure. <p>For specific information about this objective, please refer to the CMS Specification Sheet here.</p>
<p>MEDICATION RECONCILIATION</p>	<p>All EPs are required to upload:</p> <ul style="list-style-type: none"> An EHR-generated MU dashboard or report for the selected MU reporting period that shows the EP's name, numerator, denominator and resulting percentage for the medication reconciliation measure. <p>For specific information about this objective, please refer to the CMS Specification Sheet here.</p>
<p>PATIENT ELECTRONIC ACCESS</p>	<p>All EPs are required to upload:</p> <p>Measure 1</p> <ul style="list-style-type: none"> An EHR-generated MU dashboard or report for the selected MU reporting period that shows the EP's name, numerator, denominator and resulting percentage for the patient electronic access measure. <p>Measure 2</p> <ul style="list-style-type: none"> An EHR-generated report that shows at <u>least one patient</u> (or patient authorized representative) seen by the EP during the selected MU reporting period viewed, downloaded, or transmitted their health information no earlier than the start of the calendar year as the EHR reporting period and no later than the date of attestation in order to count in the numerator. <p>For specific information about this objective, please refer to the CMS Specification Sheet here.</p>
<p>SECURE ELECTRONIC MESSAGING</p>	<p>All EPs are required to upload:</p> <ul style="list-style-type: none"> Documentation that demonstrates secure messaging functionality had been <u>enabled</u> prior to or during the selected MU reporting period. <p>For specific information about this objective, please refer to the CMS Specification Sheet here.</p>
<p>PUBLIC HEALTH REPORTING</p>	<p>All EPs except for those EPs claiming an exclusion, are required to upload:</p> <p>Measure 1: Immunization Registry</p> <ul style="list-style-type: none"> MIIS Immunization Acknowledgement, MIIS Registration of Intent, or MIIS MU Scorecard to demonstrate <u>active engagement with the immunization registry</u>. EPs who are primary care physicians and are claiming an immunization exclusion for the selected MU reporting period are required to submit a letter on letterhead, signed by the EP, attesting to accuracy of the exclusion. <p>Measure 2: Syndromic Surveillance</p> <ul style="list-style-type: none"> Massachusetts Department of Public Health <u>does not</u> accept Syndromic Surveillance Data from Providers at this time. Therefore, all Massachusetts based EPs can claim an exclusion. <p>Measure 3: Specialized Registry</p> <ul style="list-style-type: none"> Documentation from a Specialized Registry to demonstrate active engagement. <p>For specific information about this objective, please refer to the CMS Specification Sheet here.</p>
<p>CLINICAL QUALITY MEASURES (CQMs)</p>	<p>All EPs are required to upload:</p> <ul style="list-style-type: none"> An EHR-generated MU dashboard or report for the selected MU reporting period that shows the EP's name, all 9 CQMs, numerator and denominator, and resulting percentage. EPs must report 9 CQMs across a minimum of 3 domains. <p>Note: CQM data cannot be collected outside of Certified EHR Technology.</p> <p>For specific information about this objective, please refer to the CMS information here.</p>